

FEB 5 1988

For Six Month Period Ending _____
(Insert date)

Name of Registrant
Schnader, Harrison, Segal & Lewis

Registration No. 3330

Business Address of Registrant
1111 19th St., N.W.
Suite 1000
Washington, D.C. 20036

1600 Market St., Suite 3600
Philadelphia, PA 19103
I-REGISTRANT

3360 Madison Ave.
15th Floor
New York, NY 10017

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

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2. Explain fully all changes, if any, indicated in item 1.

NOT APPLICABLE

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name	Position	Date Connection Ended
Mark Orenstein	Partner	9/1/88
John D. Miller	Partner	9/30/88
Philip M. Hammett	Partner	12/31/88

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?
 Yes ☒ No ☐

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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SEE ATTACHMENT I

5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?
 Yes ☐ No ☒

If yes, identify each such person and describe his services.

NOT APPLICABLE

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
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NOT APPLICABLE

7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
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NOT APPLICABLE

II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

NOT APPLICABLE

9. Have you acquired any new foreign principal¹ during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

NOT APPLICABLE

10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

Toyota Motor Corporation

III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

SEE ATTACHMENT II

¹The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?
Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

SEE ATTACHMENT III

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

NOT APPLICABLE

²The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policy of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV—FINANCIAL INFORMATION

14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
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SEE ATTACHMENT IV

Total

(b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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NOT APPLICABLE

³A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

⁴Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS—MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

<i>Date</i>	<i>To Whom</i>	<i>Purpose</i>	<i>Amount</i>
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SEE ATTACHMENT V

15. (b) DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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NOT APPLICABLE

(c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒ No ☐

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
8/15/88	\$100	Dukakis for President	Michael Dukakis

V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☐ No ☒

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal. **NOT APPLICABLE**

⁵Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

NOT APPLICABLE

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

- | | | | |
|---|---|--|---|
| <input type="checkbox"/> Radio or TV broadcasts | <input type="checkbox"/> Magazine or newspaper articles | <input type="checkbox"/> Motion picture films | <input type="checkbox"/> Letters or telegrams |
| <input type="checkbox"/> Advertising campaigns | <input type="checkbox"/> Press releases | <input type="checkbox"/> Pamphlets or other publications | <input type="checkbox"/> Lectures or speeches |

- ☐ Other (specify) **NOT APPLICABLE**

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

- | | | |
|--|---|---|
| <input type="checkbox"/> Public Officials | <input type="checkbox"/> Newspapers | <input type="checkbox"/> Libraries |
| <input type="checkbox"/> Legislators | <input type="checkbox"/> Editors | <input type="checkbox"/> Educational institutions |
| <input type="checkbox"/> Government agencies | <input type="checkbox"/> Civic groups or associations | <input type="checkbox"/> Nationality groups |
| <input type="checkbox"/> Other (specify) | NOT APPLICABLE | |

21. What language was used in this political propaganda:

- ☐ English ☐ Other (specify) **NOT APPLICABLE**

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

NOT APPLICABLE

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☐ No ☐

NOT APPLICABLE

24. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

If you have previously filed an Exhibit C⁸, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment. Pursuant to rule 201(d) a waiver was granted on October 14, 1984.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement? Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

Richard A. Penna
Richard A. Penna

Subscribed and sworn to before me at 1111-19th St, N.W., Washington, DC

this 2nd day of March, 19 89

Linda S. Lemos
(Signature of notary or other officer)

My Commission Expires October 14, 1990

⁸The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

ATTACHMENT I

Answer to Question 4

<u>NAME</u>	<u>RESIDENCE ADDRESS</u>	<u>CITIZENSHIP</u>	<u>POSITION</u>	<u>DATE ASSUMED</u>
Larry P. Laubach	23 Pelham Road Marlton, New Jersey 08053	U.S.	Partner	1/1/89
Nicholas J. LePore III	3900 Vaux Street Philadelphia, Pennsylvania 19129	U.S.	Partner	1/1/89
Thomas J. McLean	2016 Dekalb Pike Norristown, Pennsylvania 19401	U.S.	Partner	1/1/89

ATTACHMENT II

Answer to Question 11

Toyota Motor Corporation. Activities conducted during this period have included: following the status of legislation to mandate or encourage the use of alternative fuels in motor vehicles; following the status of amendments to the Clean Air Act in the House and Senate; assisting Toyota's U.S. assembly facility with compliance with air emission permits; following the status of litigation under the Clean Air Act and National Traffic and Safety Act; following the status of EPA's rulemaking activities concerning control of vehicle refueling emissions; following the status of proposals in the House and Senate to increase "gas-guzzler" taxes on vehicles; following the status of legislation regarding global warming of the atmosphere; following the status of EPA's rulemaking activities concerning asbestos and chlorofluorocarbons; following the nomination and confirmation process for officials at EPA and the Department of Transportation; preparation of oral and written reports to the foreign principal on the status of the above bills and regulatory activities; preparation of the semi-annual report under the Foreign Agent Registration Act; preparation of quarterly statements under the Federal Regulation of Lobbying Act; advice on interpretation of existing emissions and safety regulations.

ATTACHMENT III

Answer to Question 12

12.

Toyota Motor Corporation. Met with and communicated with the staff of the House Energy and Commerce Committee to discuss automobile emissions and safety proposals. These discussions primarily involved the status of Clean Air Act amendments listed in Question 11. The individuals contacted were: David Finnegan, Counsel, House Energy and Commerce Committee; Mike Gillett, Rep. Swift's staff.

Met with Donald Clay, EPA Acting Assistant Administrator for Air to discuss EPA's policy regarding refueling emission controls and controls on the volatility of fuel.

Discussions with Curtis Moore of the Senate Environment and Public Works Committee staff regarding a visit to the Toyota Motor Corporation facility in Japan and meetings with Toyota officials.

ATTACHMENT IV

Answer To Question 14

September 29, 1988	Toyota Motor Corporation	Legal Fees Reimbursable Fees	\$ 18,998.50 457.13
October 27, 1988	Toyota Motor Corporation	Legal Fees Reimbursable Fees	26,347.50 505.12
November 23, 1988	Toyota Motor Corporation	Legal Fees Reimbursable Fees	23,157.50 1,114.38
December 23, 1988	Toyota Motor Corporation	Legal Fees Reimbursable Fees	20,135.00 458.92
January 30, 1989	Toyota Motor Corporation	Legal Fees Reimbursable Fees	21,182.50 162.53
February 21, 1989	Toyota Motor Corporation	Legal Fees Reimbursable Fees	33,012.50 <u>564.78</u>
		TOTAL:	\$146,096.36

ATTACHMENT V

Answer To Question 15

<u>Date</u>	<u>To Whom</u>	<u>Purpose</u>	<u>Amount</u>
September 29, 1988	-	Photocopies	\$152.00
	-	Messengers	25.95
	-	Word Processing	142.38
	-	Clean Air Working Group Seminar	132.50
	-	Miscellaneous	4.30
October 27, 1988	-	Photocopies	306.00
	-	Messengers	23.25
	-	Lunches with Client	44.17
	-	Word Processing	41.63
	-	Taxi	11.00
	-	Non-Legal personnel overtime	16.59
	-	Long Distance Phone	6.18
	-	Miscellaneous	56.30
November 23, 1988	-	Photocopies	162.80
	-	Long Distance Phone	152.63
	-	Messengers	243.40
	-	Word Processing	18.50
	-	Travel (Detroit, MI)	374.02
	-	Non-Legal personnel overtime	104.23
	-	Micellaneous	58.80
December 23, 1988	-	Long Distance Phone	3.64
	-	Photocopies	197.83
	-	Messengers	33.55
	-	Clean Air Working Group Seminar	180.00
	-	Taxi	11.50
	-	Miscellaneous	32.40

Attachment V (Cont.)

January 30, 1989	-	Photocopies	105.00
	-	Long Distance Phones	1.53
	-	Word Processing	30.00
	-	Miscellaneous	26.00
February 21, 1989	-	Photocopies	375.60
	-	Long Distance Phones	64.93
	-	Messengers	15.10
	-	Lunches with Client	14.15
	-	Word Processing	35.00
	-	Taxis	<u>60.00</u>
		TOTAL:	\$ 3,262.86

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form OBD-64 - Supplemental Statement):

Yes _____ or No X

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes _____ or No X

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

Richard A. Penna
Signature

March 2, 1989
Date

Richard A. Penna
Please type or print name of signatory on the line above

Partner
Title

INTERNAL SECURITY
SECTION
REGISTRATION UNIT

89 MAR -3 PM 2:32

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